

DEC 0 2 2002

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint

ne specification of which	h			
		Number 10/082,397 ication Number	_as _ _ _  le)	
hereby state that I have laim(s), as amended by	reviewed and understand the any amendment referred to a	e contents of the above-identified sp bove.	ecification,	including t
acknowledge the duty t 7, Code of Federal Reg		own to me to be material to patental	oility as def	ined in Titl
pplication(s) for patent	or inventor's certificate listed	, United States Code, Section 119(a I below and have also identified belo a filing date before that of the applic	ow any fore cation on wi	eign hich priorit
Duian Tanaian Amalia-4ia			Priori	
Tior Foreign Application	on(s)		Clain	
	on(s) PCT	_05/01/1995	<u> </u>	<u>ned</u>
rior Foreign Application PCT/US95/05358 Number		05/01/1995 (Foreign Filing Date - MM/DD/YYYY)	<u>Clain</u> X Yes	
PCT/US95/05358	PCT	(Foreign Filing Date -	_X	<u>ned</u>
PCT/US95/05358 Number	PCT Country	(Foreign Filing Date - MM/DD/YYYY)  (Foreign Filing Date -	Yes	No
PCT/US95/05358  Number  Number  Number	Country  Country  Country  Title 35, United State	(Foreign Filing Date - MM/DD/YYYY)  (Foreign Filing Date - MM/DD/YYYY)  (Foreign Filing Date -	Yes Yes	No No No

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

09/378,143	08/20/1999	Abandoned
Application Number	(Filing Date – MM/DD/YYYY)	Status patented, pending, abandoned
08/744,253	11/05/1996	Patented
Application Number	(Filing Date – MM/DD/YYYY)	Status patented, pending, abandoned
document) as my respective nat	tent attorneys and natent agents, wit	incorporated by reference and a part of this h full power of substitution and revocation, to and Trademark Office connected herewith.
Send correspondence to		, BLAKELY, SOKOLOFF, TAYLOR &
· (	Name of Attorney or Agent)	olos Colifornia 90025 and direct telephone
ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct telephone calls to, (408) 720-8300.		
(Name of Attorney or Agent)		
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.		
Full Name of Sole/First Inven-	or Mark W. Miles	11/1-1 1-
Inventor's Signature	Mh	DateU(19/62
Residence San Francisco, Cal	lifornia Cir	tizenship <u>United States Of America</u>
(0	City, State)	(Country)
Post Office Address <u>Bldg.</u> 4	43, Fort Mason	
San Fr	ancisco, California 94123	

#### APPENDIX A

Ramin Aghevli, Reg. No. 43,462; William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; W. Thomas Babbitt, Reg. No. 39,591; Jordan M. Becker, Reg. No. 39,602; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Thomas M. Coester, Reg. No. 39,637; Robert P. Cogan, Reg. No. 25,049; Florin A. Corie, Reg. No. 46,244; Mimi D. Dao, Reg. No. 45,628; Stephen M. De Klerk, Reg. No. 46,503; Daniel M. De Vos, Reg. No. 37,813; Sanjeet Dutta, Reg. No. 46,145; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Ferrill, Reg. No. 42,532; George L. Fountain, Reg. No. 37,374; Angelo J. Gaz, Reg. No. 45,907; Andre M. Gibbs, Reg. No. 47,593; James Y. Go, Reg. No. 40,621; Mark A. Goldstein, Reg. No. 50,759; Michael D. Graham, Reg. No. 51,751; Melissa A. Haapala, Reg. No. 47,622; Alan E. Heimlich, Reg. No. 48,808; James A. Henry, Reg. No. 41,064; William E. Hickman, Reg. No. 46,771; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Libby H. Hope, Reg. No. 46,774; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Steve Laut, Reg. No. 47,736; Suk S. Lee, Reg. No. 47,745; Gordon R. Lindeen III, Reg. No. 33,192; Jan C. Little, Reg. No. 41,181; Julio Loza, Reg. No. 47,758; Joseph Lutz, Reg. No. 43,765; Lawrence E. Lycke, Reg. No. 38,540; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. 48,095; Raul D. Martinez, Reg. No. 46,904; Paul A. Mendonsa, Reg. No. 42,879; Jonathan S. Miller, Reg. No. 48,534; Richard A. Nakashima, Reg. No. 42,023; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Gregg A. Peacock, Reg. No. 45,001; Philip A. Pedigo, Reg. No. P-52,107; Marina Portnova, Reg. No. 45,750; Michael A. Proksch, Reg. No. 43,021; Joseph A. Pugh, Reg. No. P-52,137; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Saina S. Shamilov, Reg. No. 48,266; Kevin G. Shao, Reg. No. 45,095; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Edwin H. Taylor, Reg. No. 25,129; Lisa Tom, Reg. No. P-52,291; John F. Travis, Reg. No. 43,203; Thomas J. Treutler, Reg. No. 51,126; Kerry D. Tweet, Reg. No. 45,959; Mark C. Van Ness, Reg. No. 39,865; Thomas A. Van Zandt, Reg. No. 43,219; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John P. Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Brent E. Vecchia, Reg. No. 48,011, and Lehua Wang, Reg. No. 48,023; my patent agents, of BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

#### APPENDIX B

#### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

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attorney Docket No.: 5652P013XCD

Patent

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Mark W. Miles, et al.	) Examiner:	Unknown
Application No.: 10/082,397	) Art Unit:	2873
Filed: February 25, 2002	)	
For: Visible Spectrum Modulator Arrays	_)	
Commissioner for Patents		

Commissioner for Patents U.S. Patent and Trademarks Office Washington, D.C. 20231

## APPOINTMENT OF ASSOCIATE ATTORNEY

Sir:

I hereby appoint Vanintheran (Vani) Moodley as my associate attorney in the above-entitled application, to prosecute this application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

Please continue to address all future communications to Blakely, Sokoloff, Taylor & Zafman LLP, 12400 Wilshire Blvd., Seventh Floor, Los Angeles, CA 90025-1026.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated:  $\frac{1}{25}$ , 2002

Stephen M. De Klerk

Reg. No. 46503

12400 Wilshire Boulevard Seventh Floor Los Angeles, CA 90025-1030 (408) 720-8300

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Attorney Docket No.: 5652P013XCD

Patent

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) -	
Mark W. Miles	) Examiner:	Unknown
Application No.: 10/082,397	) Art Unit:	2873
Filed: February 25, 2002	) )	
For: Visible Spectrum Modulator Arrays	) )	
	)	

Commissioner for Patents U.S. Patent and Trademark Office Washington, D.C. 20231

### LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

The undersigned has been granted limited recognition under 37 CFR § 10.9(b) to prosecute patent applications in which the applicants are clients of the Blakely, Sokoloff, Taylor & Zafman LLP law firm, and wherein a registered practitioner who is a member of the Blakely, Sokoloff, Taylor & Zafman LLP law firm is the attorney or agent of record. In this regard, please see the attached copy of the original document in which this limited recognition is granted. In particular, please note that:

- (a) The undersigned is an employee of the Blakely, Sokoloff, Taylor & Zafman LLP law firm;
- (b) The above applicant is a client of the Blakely, Sokoloff, Taylor & Zafman LLP law firm;
- (c) A registered practitioner who is a member of the Blakely, Sokoloff, Taylor & Zafman LLP law firm is an attorney or agent of record in the above application;
- (d) The undersigned continues to lawfully reside in the United States on a H-1B visa; and
- (e) The undersigned has been appointed an associate attorney in the above application.

Accordingly, the undersigned meets all requirements for limited recognition under  $\S 10.9(b)$ , and thus permitted to prosecute the above patent application.

	Respectfully submitted, BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Dated: 1/25, 2002	Vani Moodley Under 37 CFR § 10.9(b)
Customer No. 008791 12400 Wilshire Boulevard Seventh Floor Los Angeles, CA 90025-1030 (408) 720-8300	
I hereby certify that this correspond Service as first class mail with suffi Assistant Commissioner for Patents	ence is being deposited with the United States Postal cient postage in an envelope addressed to the s, Washington, D. C. 20231 on:
	November 25, 2002
	(Date of Deposit)
	Dawn R. Shaw
(Typed or printed no	ame of person mailing correspondence)
Show Show	11-25-02
Signature	Date